

**Client Services**

Phone 1300 658 355 or +61 3 8541 0458  
Email [service@anzshareinvesting.com](mailto:service@anzshareinvesting.com)  
Website [anzshareinvesting.com](http://anzshareinvesting.com)

**WHEN TO USE THIS FORM**

If you have existing Broker Sponsored securities and you want to move some or all of those securities under Share Investing Limited's sponsorship. If you wish to transfer securities from more than one broker, either photocopy this form or download another from our website. To assist us with your transfer, we require a copy of your latest CHESS Holding Statement/s. Please ensure you have read the CHESS Sponsorship Agreement included in the Trading Terms and Conditions.

**1. ACCOUNT DETAILS**

|                            |                                                                                                                               |
|----------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| Account Number             | <input type="text"/>                                                                                                          |
|                            | (Your Account Number is a unique 7 digit number)                                                                              |
| Account Name               | <input type="text"/>                                                                                                          |
|                            | (Please include Account Designation Name if applicable)                                                                       |
| Existing Sponsoring Broker | <input type="text"/>                                                                                                          |
| Existing Sponsoring HIN    | <input checked="" type="checkbox"/>                                                                                           |
|                            | (A Holder Identification Number (HIN) is a unique 10 digit number starting with "X" visible on your CHESS Holding Statement.) |

**2. HOLDINGS TO BE TRANSFERRED (please select one)**

- Transfer your existing HIN and all CHESS Holdings to Share Investing Limited**  
In the event there is an existing HIN allocated to your account and there is nil holdings, we will cancel it and proceed with your request. You cannot transfer multiple HINs to Share Investing Limited.
- Transfer all CHESS Holdings (but not your HIN) to Share Investing Limited\***  
If you do not currently have a HIN under Share Investing Limited's sponsorship, one will be created for you as part of this request.
- Transfer selected CHESS Holdings (as listed below) to Share Investing Limited\***  
If you do not currently have a HIN under Share Investing Limited's sponsorship, one will be created for you as part of this request.

| ASX Code             | Number of Securities | ASX Code             | Number of Securities |
|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

Transfers worth less than \$600 can only be processed if you have enough units of the same security already under our sponsorship to bring the total value held to at least \$600. This is to comply with the ASX Settlement Operating Rule on 'Marketable Parcels'.

\* **Note:** When transferring CHESS holdings to another HIN, your standing instructions with the share registries will not transfer across. Therefore it may be necessary to re-lodge your standing instructions which may include your tax file number, banking details or dividend direction details.



**3. DECLARATION**

I/We declare that I/we have read and agree to be bound by the CHESS Sponsorship Agreement included in the Trading Terms and Conditions. I/We authorise and direct my/our sponsoring broker to transfer my/our shareholdings to Share Investing Limited in accordance with the ASX Settlement Operating Rules.

**Account holder 1**

Signature  Date

Name

Title (if company)

**Account holder 2 (if applicable)**

Signature  Date

Name

Title (if company)

**Account holder 3 (if applicable)**

Signature  Date

Name

Title (if company)

**INSTRUCTIONS**

- Send your completed form to:

**ANZ Share Investing**

Reply Paid 1346

Royal Exchange NSW 1224

Fax 1300 553 589 or

Email [requests@anzshareinvesting.com](mailto:requests@anzshareinvesting.com)



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**WHEN TO USE THIS FORM**

If you have existing Issuer (company) Sponsored securities and you want to move some or all of those securities under Share Investing Limited's sponsorship.

This transfer can be completed on our website if your ANZ Share Investing & Share Registry details exactly match.  
 Simply go to My Accounts – Transfer Securities – Issuer Sponsored Transfers – Online

**YOU CANNOT USE THIS FORM IF**

There is a change in beneficial ownership (eg, from an individual name to a joint name or from an individual name to a Trust account).  
 Please complete the Off-Market Transfer form found on our website.

**The transfer plus your holding under our sponsorship bring the total value held of that security to less than \$600\*.**

**CHECKLIST**

For your transfer to be processed without delay please ensure:

- The name and address of your **Shareholder Reference Number (SRN)** match your **ANZ Share Investing Account**. If these details do not match exactly, please contact the share registry to update your details.
- The form is **signed and dated** by all account holders.
- A copy of your **Issuer Sponsored Holding Statement** listing your SRN in full is attached prior to submitting your request.

**1. ACCOUNT DETAILS**

Account Number   
 (Your Account Number is a unique 7 digit number)

Account Name

Account Designation  A/C>  
 (Please include Account Designation Name if applicable)

| ASX Code             | Number of Securities | Security holder Reference Number (SRN) |
|----------------------|----------------------|----------------------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |
| <input type="text"/> | <input type="text"/> | <input type="text" value="I"/>         |

(A Security holder Reference Number (SRN) is a unique 10 to 11 digit number starting with an "I" shown on your Issuer Sponsored Statement.)

\* Transfers worth less than \$600 can only be processed if you have enough units of the same security already under our sponsorship to bring the total value held to at least \$600. This is to comply with the ASX Settlement Operating Rule on 'Marketable Parcels'.



**2. DECLARATION**

I/We declare that I/we have read and agree to be bound by the CHESS Sponsorship Agreement included in the Trading Terms and Conditions. I/We authorise and direct my/our shareholdings to be transferred to Share Investing Limited in accordance with the ASX Settlement Operating Rules.

**Account holder 1**

|                    |                                |      |                      |
|--------------------|--------------------------------|------|----------------------|
| Signature          | <input type="text" value="X"/> | Date | <input type="text"/> |
| Name               | <input type="text"/>           |      |                      |
| Title (if company) | <input type="text"/>           |      |                      |

**Account holder 2 (if applicable)**

|                    |                                |      |                      |
|--------------------|--------------------------------|------|----------------------|
| Signature          | <input type="text" value="X"/> | Date | <input type="text"/> |
| Name               | <input type="text"/>           |      |                      |
| Title (if company) | <input type="text"/>           |      |                      |

**Account holder 3 (if applicable)**

|                    |                                |      |                      |
|--------------------|--------------------------------|------|----------------------|
| Signature          | <input type="text" value="X"/> | Date | <input type="text"/> |
| Name               | <input type="text"/>           |      |                      |
| Title (if company) | <input type="text"/>           |      |                      |

**Note:** Please be advised, if you do not currently have a HIN under Share Investing Limited's sponsorship, one will be created for you as part of this request. It may be necessary for you to re-lodge your standing instructions with your share registries after some transfers. These instructions may include your tax file number, banking details or dividend direction details.

**INSTRUCTIONS**

- Please send your completed form to:

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